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Determination of the tangential component of cutting resistance during frozen sedimentary rock cutting using blocked, deeply blocked and cell cutting methods

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Abstract

Due to the insufficient accuracy of existing studies of frozen sedimentary rock cutting process for practical calculations, the article solves the problem of determining the tangential component cutting resistance for blocked, deep blocked and cell cutting, which are currently the most commonly used methods in earthmoving equipment. The cutting tool and rock mass force interaction is considered from the point of view of the emerging stresses, which act on the separated chip element. The analytical dependences for determining the tangential component of cutting resistance were obtained. The numerical explanation of the choice of cell cutting in relation to blocked and deeply blocked cutting is given. For all three methods of cutting, under equal geometrical parameters of the cutting tool and the physical and mechanical properties of the frozen rock, the numerical value of the tangential component of cutting resistance is obtained. The comparison of the cutting resistance estimated values has shown that cell cutting requires relatively less energy and is preferred during the process of frozen sedimentary rock excavation. During field and laboratory investigations with the use of a multi-purpose cutting stand, a sufficient convergence of the analytical statements with the physics of frozen sedimentary rock cutting process was established. The results of the research allow a more reasonable approach to the adjustment of existing methods for determining the required tractive force and power for the drive of an excavation machine, and, therefore, to the actual efficiency and profitability of work.

Keywords

frozen sedimentary rocks; blocked cutting; deeply blocked cutting; cell cutting; cutting tool; stresses; cutting resistance; cutting stand

Introduction

Most of the surface layer of the Russian Federation territory is covered with sedimentary rocks (clays, loams, sandy loams, sands), which are in a frost or permafrost state for 6-8 months a year due to the mountain climatic conditions [1]. Mining companies use a localized or major blast in order to destruct the frozen rock in quarry during winter [2]. Taking into consideration all costs of drilling and blasting operations, including the cost of explosives, storage buildings and their security, the transportation, the cost of the blasting and drilling equipment, the maintenance of explosives technicians and drillers, the downtime of the mining equipment while anticipating the blast and waiting for the explosion cloud dissipation, the estimated cost of a frozen rock layer destruction is rather high [3]. The increase in the cost of explosives and, in a number of instances, difficulties in their acquisition has led to the attempts to reject the drilling and blasting method. The other way is to use special machines, which work on the principle of mechanical destruction, specifically of loosening (cutting) [4, 5]. This category includes attached rippers on industrial tractors, excavators with milling equipment, ditch excavators (rotary or chain), surface miners, drilling machines, etc. [6, 7]. The mechanical method is not associated with conducting significant preparation works and makes it possible to use a number of mining



machines designed primarily for the excavation of thawed rock along with the special earthmoving machines. The mechanical method of frozen rock excavation, which works on the cutting principle, predominates in the construction and mining industries [8, 9].

For more than seventy years, a pleiad of Russian scientists has been conducting research in the field of frozen rock cutting and excavating resistance [10, 11]. The studies by N.G.Dombrovsky, A.N.Zelenin, V.V.Abeshaus, M.I.Galperin, V.I.Balovnev, Yu.A.Vetrov, etc. have given a certain material for understanding the regular patterns of frozen rock cutting. However, the knowledge of the frozen rock cutting process still does not meet the current requirements of the industry when creating new equipment. The dependences for determining the rock cutting resistance are of an empirical nature and do not take the physics and the essence of cutting method into consideration [12-14]. There are discrepancies in determining the influence of rock hardness upon the cutting resistance [15]. The results of calculation using known empirical dependences of the cutting force tangential component represent a considerable divergence of values, while the maximum value exceeds the minimum value by 4.8 times [16-18].

The fundamental difference of the proposed method for determining the frozen sedimentary rock cutting resistance lies in its analytical nature with the further experimental verification of the results for the major cutting methods applied in practice: blocked, deeply blocked and cell cutting.

Methodology

The purpose of the study is to develop the analytical dependences for determining the tangential component of resistance to blocked, deeply blocked and cell cutting. The comparison of the numerical values of the cutting resistance tangential component for the given cutting methods, under equal geometrical parameters of the cutting tool and the frozen rock type, will allow determining the most effective rock excavation method from the point of view of energy intensity.

The scheme of blocked cutting is shown in Fig.1 [19]. During blocked cutting the separated chip elements have a complex shape (Fig.2) [19].

With small assumptions, the chip element has a shape of a truncated cone half [20-22] with the depth $(h - h_2)$, bound by the surface ODC. The cutting tangential component P_{01} can be determined while studying the chip element limit state before separation from the main rock mass [23]. The parameters of the cutting tool are: cutting angle α_c , cutting edge angle 180°. Fracture stresses σ_f act normally on the separation surface, and shearing stresses τ act tangentially to the surface [24]. The stiff core is formed in the lower part (area h_2S), therefore, the rock is crushed by the stresses σ_{cr} [25, 26].

This method considers cutting with teeth, in which the slot dimensions h, S, α_c , h_2 can vary in a wide range. The cutting was performed on coherent and incoherent frozen sedimentary rocks (loams and sand respectfully), which is taken into consideration in the dependences σ_f , τ , and σ_{cr} . During the experiments the slot parameters were measured, particularly the zone h_2 , which is characterized by the absence of camber at the θ angle and the formation of the crushed rock stiff core. The established empirical pattern for defining the value of h_2 is as follows [11, 19]:

- for incoherent frozen sedimentary rocks (sand) $h_2 = 1.021 h^{-0.46} e^{0.029h}$;
- for coherent frozen sedimentary rocks (loams) $h_2 = 0.728 h^{-0.024} e^{0.067 h}$

Depending on the h_2 value, the slot section is divided into two zones.

In the scheme of forces effect (Fig.2) force N and its components P_{01} and P_{02} are the external forces, which act on the rock mass from the working body. The force P and its components P_1 and P_2 are the internal forces, which act on the separated chip element from the stiff core. The forces P'_{01} and P'_{02} are the components of the internal resistance to crushing, which act on the stiff core from the rock mass. The forces P_x^{bp} and P_y^{bp} are the components of the rock mass internal resistance, which act on the working

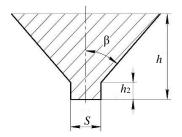


Fig.1. The scheme of slot section during the process of blocked cutting

 β – camber angle; S – cutting tool width; h – slot depth; h_2 – slot bottom depth

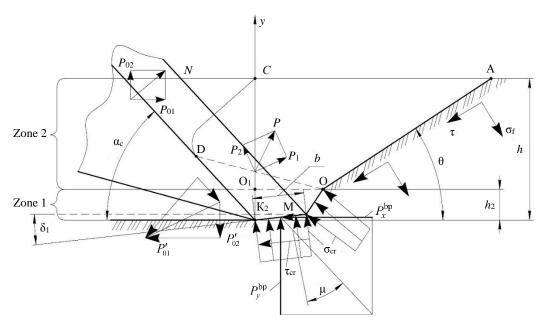


Fig.2. The calculation scheme of blocked cutting

body blunting place. The force P_{01} is equal the sum of three summands, specifically of the tangential component of the rock mass force effect on the stiff core, the tangential component of the rock mass force effect on the separated chip element, and the tangential component of the rock mass force effect on the working body blunting place. All tangential components of the internal forces effect on the working body are summarized in the formula with definite inclination angles to the x-axis. Thus, the force application in the scheme (Fig.2) is performed for a general idea of the internal resistances during the working body movement in the frozen rock mass, but not for the correspondence to each other.

Omitting the trigonometric ratios of sizes and stresses and summing the resistances in the zones 1 and 2, as well as the resistances associated with the cutting tool blunting, the analytical dependency for determining the tangential component of the frozen sedimentary rock resistance to blocked cutting is obtained:

$$P_{01} = \sigma_{cr} \left[Sh_{2} + \frac{\xi_{1}h_{2}^{2}tg\phi_{2}\sin(2\alpha_{c} + \psi)}{\sin(\alpha_{c} + \psi)} + \frac{\xi_{1}S^{2}tg\phi_{2}}{8} + \frac{\pi Sh_{2}tg\phi_{2}ctg(\alpha_{c} + \psi)}{2} \right] +$$

$$+ (\tau - \sigma_{f}tg\theta) \left[\frac{h - h_{2}}{tg^{2}\theta} (Stg\theta + h - h_{2}) \right] + \frac{\sigma_{cr}bS\sin(\delta_{1} + \mu)}{\cos\mu},$$
(1)

where b stands for the width of the worn place; δ_1 stands for the angle of inclination between the worn place surface and the tangential to the cutting tool movement trajectory; μ stands for the friction angle of the rock on the cutting tool; ξ_1 stands for the lateral earth pressure index; φ_2 stands for the friction angle of the rock against the rock; α_c stands for the cutting angle; θ stands for the front separation angle; θ stands for the angle between the front surface of the stiff core and the front surface of the cutting tool.

In matters of frozen rock cutting, the stress differentiation in various cross-sectional zones of the chip was not carried out. Therefore, the main difference of the conducted research is the division of the slot section into zones with different stresses. Summing the tangential components of the resistances, which occur in zones of chip elements separation, in the stiff core and in the blunting place, was carried out on the basis of the fundamental laws of solid-state physics, theoretical mechanics and strength of materials.

The degree of rock hardness is taken into account depending (1) on the stresses σ_f , τ , σ_{cr} , the values of which, based on the temperature, are given in technical literature concerning the frozen rock (soil) mechanics. In this case the studies by A.N.Zelenin, G.M.Veselov, A.N.Stepanov, N.K.Pekarskaya, S.S.Vialov, M.I.Rovinsky, G.A.Shloydo, L.I.Sverdlova and many other researchers should be noted.



Deeply blocked cutting (Fig.3) is used for frozen rock destruction less often compared to blocked method [27-29]. During the work of rotary ditch excavators on frozen rocks in the lower part of the face, the rock is cut in thin chips and does not collapse in slots after the cutting tool passage [30, 31]. In this case deeply blocked cutting is observed. A similar process occurs during the work of milling machines on any type of rock of a low or medium hardness [32]. If the ripper tooth of a ripper bulldozer is inserted in the slot again after the passage through the rock using blocked cutting method in order to deepen the slot, deeply blocked cutting occurs [33]. Deeply blocked cutting was investigated using only experimental methods [34, 35], thus, the theoretical interpretation of the issue is of a considerable interest.

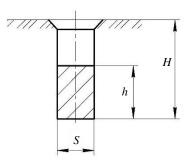


Fig.3. The scheme of slot section during the process of deeply blocked cutting

H – distance between the rock mass surface and the slot bottom

The tangential component of the frozen rock resistance to deeply blocked cutting is defined by summing the values of cutting resistance in zones 1 and 2 (Fig.4), taking into account the tangential component of the cut chip shearing resistance along two lateral planes ABCD, as well as along the plane AD and the front surface of the blunted cutting tool from the slot.

As a result, the analytical dependency for determining the tangential component of the resistance to deeply blocked cutting is as follows:

$$P_{01} = \sigma_{cr} \left[Sh_{2} + \frac{\xi_{1}h_{2}^{2}tg\phi_{2}\sin(2\alpha_{c} + \psi)}{\sin(\alpha_{c} + \psi)} + \frac{\xi_{1}S^{2}tg\phi_{2}}{8} + \frac{\pi Sh_{2}tg\phi_{2}ctg(\alpha_{c} + \psi)}{2} \right] + 2\tau\cos\theta \left\{ \frac{(h - h_{2})^{2}}{2tg\alpha_{c}} + \frac{h_{2}^{2}}{tg\alpha_{c}} + h_{2}^{2}tg\left[\psi - (90 - \alpha_{c})\right] + \frac{h_{2}S}{2} + \frac{(h - h_{2})^{2}}{2tg\theta} \right\} + S(h - h_{2})(\tau\cos\theta - \sigma_{f}\sin\theta) + h(H - h)S\gamma_{r}ctg\alpha_{c}(\mu_{1}\cos\alpha_{c} + \sin\alpha_{c}),$$
(2)

where μ_1 stands for the rock against steel friction index; γ_r stands for the gravity body force of the cut rock.

The calculation according to the formula (2) with the parameter values equal to the above stated values shows that the tangential component of cutting resistance is $P_{01} = 85,600$ N, which is 1.6 times more than during blocked cutting.

Cell cutting (Fig.5) is a widely used method for frozen rock excavating machines [36]. They include rotary and chain ditch excavators, ditch-digging attachments on tractors, quarry milling combines, etc. [37, 38].

In order to analytically determine the tangential component of resistance to cutting P_{01} , it is advisable to divide the surfaces into four zones (Fig.6) [19], integrate the emerging stresses in each zone and sum the resulting forces, taking trigonometric functions into account.

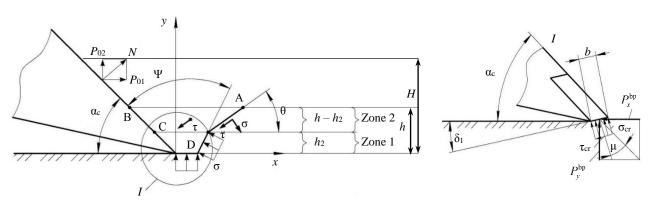


Fig.4. The scheme of emergence of the resistance stresses acting on the separated chip element in limit state during deeply blocked cutting

As a result, the analytical dependency for determining tangential component of resistance to cell cutting is as follows:

$$P_{01} = \sigma_{cr} \left[Sh_{2} + \frac{bS\sin(\delta_{1} + \mu)}{\cos\mu} \right] + \frac{(\tau - \sigma_{f} tg\theta)}{tg^{2}\theta} \left\{ \left[\frac{h}{2} \left(Stg\theta + \frac{h}{2} \right) + \frac{2h_{2}^{2}(Stg\theta + h - h_{2})}{3(Stg\theta + h)} \right] + \left[\frac{(Stg\theta + 2h - 2h_{2})^{2} - (Stg\theta + h)^{2}}{4} \right] \left[\frac{Stg\theta + h - 2h_{2}}{3.5(Stg\theta + h)} + \frac{2.5Stg\theta}{3.5(Stg\theta + 2h - 2h_{2})} \right] \right\}.$$
(3)

With the before stated quantity values, which are included in the formula (3), the tangential component of cutting resistance to cell cutting is $P_{01} = 33,400$ N, which is 1.63 times less than to blocked cutting and 2.5 times less than to deeply blocked cutting.

The procedure of field and laboratory investigations

In order to confirm the convergence of resulting interrelations (1)-(3) with the physics of frozen sedimentary rock cutting process, a series of experiments on cutting frozen loams and sands with the hardness index 2 in natural environment with the surrounding air temperature from -10 to -15 °C was conducted [39-41]. The cutting process was carried out with the use of multi-purpose cutting

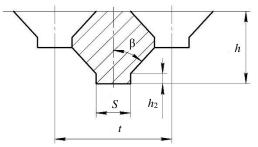


Fig.5. The scheme of slot section during the process of frozen rock cell cutting *t* – cutting pitch

stand (Fig.7), geared with a DT-75 tractor. Since finding sand in natural occurrence presents certain difficulties, as it is not to be found anywhere except flood plains, the process of sand cutting was performed in laboratory setting, specifically in a freezing chamber with the temperature from -15 to -20 °C, on frozen blocks with the dimensions of $1.2 \times 1.2 \times 0.4$ m. The frozen block was then installed under the cutting tool of the stand.

Steel teeth, which acted as a cutting tool, had the cutting edge width of 40 mm with WC-8 brazed elements and the blunting place of 3 mm. The possibility of teeth penetration into rock was 300 mm.

Cutting stand characteristics are: maximum cutting speed -0.8 m/s, maximum cutting force -180 kN, maximum cutting depth -0.4 m. The stand includes the measuring trolley 6, which is installed on the frame 4 (Fig.7). During the measurement process the horizontal position of the frame is achieved by skids 3, and the tractive force is transmitted from the tractor to the frame through the ball joint 1. The

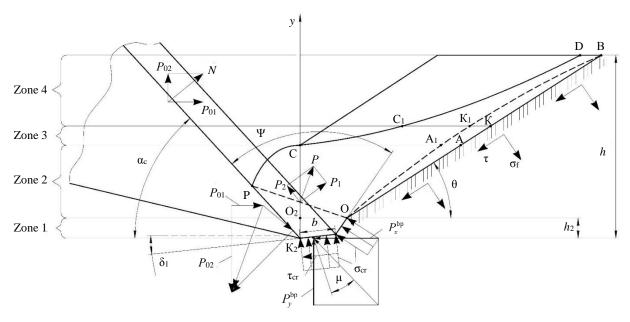


Fig.6. The calculation scheme of cell cutting



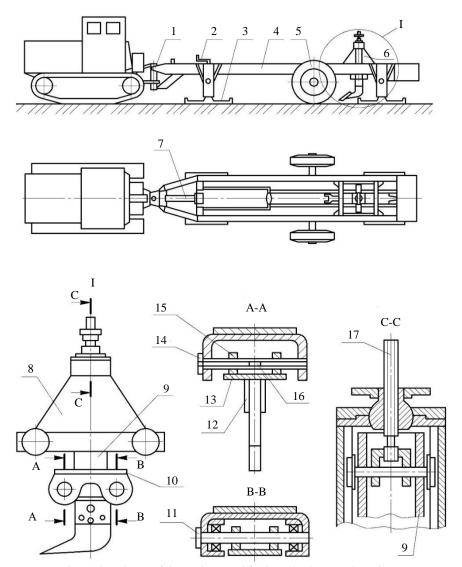


Fig.7. The scheme of the cutting stand for layer-by-layer rock cutting

transportation of the stand to the measurement point is performed by means of the pneumatic wheels 5. The distance between the frame and a rock layer is changed with the jackscrews 2, which are built in the skids 3. The measuring trolley 6 movement along the frame 4 guides is performed using the hydraulic cylinder 7, which is connected to the hydraulic system of the tractor. The rack 9, which is installed in the measurement trolley frame 8, is moved using the screw 17. The measuring axis 14 and the rear axis 11 are installed in the holes of flange 10. The working body is suspended on the axes 11, 14 by means of the flanges 13 with the lugs 15 and the guides 12. The tangential component of cutting resistance is measured with the sensors 16, which are installed on the axis 14 vertically. The normal component of cutting resistance is measured with the sensors installed on the axis 14 horizontally.

The rock samples with the height of 100 mm and the diameter of 71.4 mm were used during the compression and tensile shear test by the indirect diametral compression method. The bearing stress was measured by pressing a steel punch with a universal testing machine UMM-50 (GOST 7855-61) in the rock.

During the experimental investigations the following parameters of the slot made by the cutting tool were determined: camber angle β , depth h_2 and h, front separation angle θ , the angle between the front surface of the stiff core and the front surface of the cutting tool ψ .

Discussion

As a result of experimental and estimated data comparison using the dependences (1)-(3), a sufficient convergence of the analytical statements with the physics of blocked, deeply blocked and cell methods of frozen sedimentary rock cutting was established (the discrepancy does not exceed 11 %). In this case, several experiments were selected from a large number (Table 1-3).

Table 2



Table 1

The estimated maximum values of the tangential component of resistance to blocked cutting with one tooth according to equation (1)

N Calculation	H, weg (12, 111111 51 \$\psi_2\$, weg \$\psi_3\$, weg \$\psi_4\$, weg \$\psi_5\$, weg \$\ps	80 11	50 10.8	000 -8.15	000 10.5
Z P ₀₁ ,	ted)(experir	0 5,280	0 1,050	00 20,000	37,000
, P ₀₁ , I	(estimat	-10 5,350	1,070	19,000	180 -10 38,000
7 00	1, V		-15 1	-10	-10
5. N/S	1 Of, 14/C	195	195	180	
2. T. N./200	1, 14/CI	300	300	250	250
N/N	Ocr, 14/CII	2,000	2,000	1,800	1,800
1	γ, ucε	80	80	75	36 75
0	o, uc	38	38	36	36
÷	ψ2, u c ₃	40	40	35	35
3.1	III	20 0.4	0.4	0.4	25 0.4
- 4	, 112, III	20	25	23	25
_		12	12	12	12
× ×	1 01, uc	5	5	5	3
4	, IIIII	5	5	5	S
2	3, IIIII	40	40	40	40
2	uc, ucg	0.1 35	35	35	0.2 35
7	и, ш	0.1	0.2	0.1	
	NOCK type 7, III 0c, weg 3, IIIII 0, IIIII 01, weg	Frozen sand	Frozen sand	Frozen loam	Frozen loam
Experiment	number	1	2	8	4

The estimated maximum values of the tangential component of resistance to deeply blocked cutting with one tooth according to equation (2)

	(a) 100 The configuration of t				0	J						0				<u>)</u>	
Experiment number	Rock type	h, m	h , m α_c , deg S , mm	S, mm	b, mm	δ_1 , deg	н, deg	h_2 , mm	φ ₂ , deg	ψ, deg	σ _{cr} , N/cm ²	τ, N/cm²	σ _f , N/cm ²	T, °C	P_{01} , N (estimated)	b , mm δ_1 , deg μ , deg h_2 , mm ϕ_2 , deg ψ , deg $\sigma_{\rm cr}$, $N/{\rm cm}^2$ σ , $N/{\rm cm}^2$ $\sigma_{\rm f}$, $N/{\rm cm}^2$ T , \circ C $O_{\rm i}$, $O_{\rm i}$ $O_{\rm i}$ $O_{\rm i}$, $O_{\rm i}$ $O_{\rm i}$ $O_{\rm i}$ $O_{\rm i}$, $O_{\rm i}$ O	Calculation mistake, %
1	Frozen sand	0.1	35	40	5	5	12	20	40	38	2,000	300	195	-10	195 -10 8,480	8,300	9.6
2	Frozen sand	0.2	35	40	5	S	12	23	40	38	2,000	300	195	-15	-15 16,000	15,900	7.6
ю	Frozen loam	0.1	35	40	5	5	12	23	35	36	1,800	250	180	-10	-10 30,400	30,800	<i>L</i> .6–
4	Frozen loam	0.2	35	40	5	5 12	12	25	35	36	1,800 250	250	180	-10	180 -10 60,800	60,100	9.1

The estimated maximum values of the tangential component of resistance to cell cutting with one tooth according to equation (3)

	Calculation mistake, %	-7.2	6.9–	-2.86	-7.8	7.1	6
	P_{01} , N (experimental)	18,000	19,000	17,500	32,000	7,000	6,100
	$\alpha_{\rm c}$, deg $\delta_{\rm l}$, deg θ , deg $h_{\rm 2}$, mm τ , N/cm ² $\sigma_{\rm cr}$, N/cm ² (estimated)	19,300	20,300	18,000	34,500	6,500	5,550
(5)	σ_{cr} N/cm ²	2,000	2,000	2,000	2,000	1,800	1,800
	τ , N/cm ²	300	300	300	300	250	250
	h_2 , mm	11	12	6	13	16	20
	θ, deg	25	25	25	25	27	27
	δ_1 , deg	15	15	15	15	15	15
9	$\alpha_{\rm c}, \deg$	45	45	45	45	45	45
	S, mm	35	35	35	58	35	22
	<i>h</i> , m	0.1	0.2	0.1	0.2	0.2	0.11
L	t, mm	132	300	140	350	230	140
	μ, deg	12	12	12	12	24	24
	$T, {}^{\circ}C$ $\sigma_{f}, N/cm$ μ, \deg	120	120	120	120	87	87
	T, °C	-10	-10	-10	-10	8-	8-
	Rock type	Frozen sand	Frozen sand	Frozen sand	Frozen loam	Frozen loam	Frozen loam
	Experiment number	1	7	ю	4	5	9



Conclusion

The developed analytical dependences for determining the tangential component of the process of frozen sedimentary rock cutting with a single cutting tool provide a reasonable opportunity to determine the required tractive forces for the excavating machine cutting tools the and power for the drive under given shearing, tensile and bearing limit stresses. Therefore, the actual efficiency and profitability of work is determined. The presented analytical dependences allow obtaining the calculation results with a permissible error compared to data, which results from the experimental investigations. The numerical calculations conducted using the three analytical dependences for determining the tangential component of cutting resistance under equal parameters of the cutting tool, cut chip thickness and width, strength properties of the rock show that the value of resistance to deeply blocked cutting is 1.6 times more than to blocked cutting and 2.5 times more than to cell cutting. Thus, it is preferred to use cell cutting in machines designed for frozen sedimentary rock excavation.

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The authors declare no conflict of interests.